

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/998,288	11/30/2001	Yaping Lu	M01A239

CONFIRMATION NO. 4840

## FORMALITIES LETTER



\*OC000000007217101\*

Philip H. Von Neida  
The BOC Group, Inc.  
Intellectual Property Dept.  
100 Mountain Ave.  
Murray Hill, NJ 07974

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 12/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/26/2002 RHAR151 00000006 022865 09998288  
01 FC:105 130.00 CH



W. Lee #3  
Patent  
✓

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of LU et al.  
S/N: 09/998,288  
Filed: November 30, 2001  
For: "Cap Assembly and Optical Fiber Cooling Process"  
Atty. Dkt.: M01A239

Group: 2874

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

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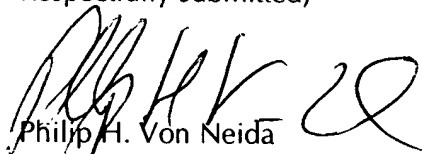
**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

In response to the Notice to File Missing Parts of Nonprovisional Application (copy enclosed) mailed December 20, 2001, Applicants submit the enclosed Declaration (37 CFR 1.63) for Utility or Design Application Using an Application Data Sheet (37 CFR 1.76). The Commissioner is hereby authorized to charge to Deposit Account No. 02-2865, the \$130.00 fee required under 37 CFR 1.16(e).

The Commissioner is requested to grant any necessary extension of time and is authorized to charge any additional fee, if required, to our Deposit Account No. 02-2865. This request is submitted **in triplicate**.

Respectfully submitted,

The BOC Group, Inc.  
Intellectual Property Dept.  
100 Mountain Ave.  
Murray Hill, NJ 07974

  
Philip H. Von Neida  
Attorney for Applicant(s)  
Regn. No. 34,942  
(908) 771-6402

Attachments: Declaration (37 CFR 1.63)  
Notice to File Missing Parts

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C.

20231, on January 28, 2002  
(Date)

Betty Lee  
Typed or printed name of person signing this certificate

Betty Lee  
Signature of person mailing